

**OFFICIAL****FACSIMILE COVER SHEET****RITTER, LANG & KAPLAN LLP  
ATTORNEYS AT LAW****RECEIVED  
CENTRAL FAX CENTER****APR 23 2004**

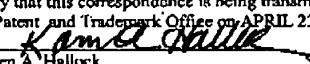
12930 Saratoga Ave., Suite D1  
Saratoga, CA 95070  
Tel: 408-446-8690  
Fax: 408-446-8691

Date: APRIL 23, 2004

**CONFIDENTIALITY NOTE**

The information contained in this facsimile (FAX) message is legally privileged and confidential information intended only for the use of the receiver or firm named below. If the reader of this message is not the intended receiver, you are hereby notified that any dissemination, distribution or copy of this FAX is strictly prohibited. If you have received this FAX in error, please immediately notify the sender at the telephone number provided above and return the original message to the sender at the address above via the United States Postal Service. Thank you.

**CERTIFICATE OF FACSIMILE**

I hereby certify that this correspondence is being transmitted by Facsimile to the Patent and Trademark Office on APRIL 23, 2004.  
Signed:   
Karen A. Hallock

Sender: Karen A. Hallock  
Re: U.S. Patent Application No. 09/942,459  
Docket No: CISCP713  
Pages: 16 (including cover)

Receiver: Commissioner for Patents  
Company: United States Patent and Trademark Office  
FAX #: 703/872-9306

Message:

**\*\*PLEASE CONFIRM RECEIPT OF THIS FACSIMILE BY RETURN  
FACSIMILE\*\***

OFFICIAL

RECEIVED  
CENTRAL FAX CENTER

APR 23 2004

PTO/SB/21 (08-03)

Please type a plus sign (+) inside this box → 

Approved for use through 8/30/2003. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL  
FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

09/942,459

Filing Date

August 29, 2001

First Named Inventor

ALAIN HOULE

Group Art Unit

2828

Examiner Name

DELMA R. FLORES RUIZ

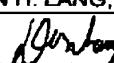
Attorney Docket Number

CISCP713

## ENCLOSURES (check all that apply)

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Return Postcard
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Request for Reconsideration
<input type="checkbox"/> Certified Copy of Priority Document(s)	Remarks	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	The Commissioner is authorized to charge any additional fees to Deposit Account 50-1632.	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name RITTER, LANG & KAPLAN LLP  
DAN H. LANG, Reg. No. 38,531Signature 

Date APRIL 23, 2004

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being submitted via Facsimile to 703/672-0306 and addressed to: BOX AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown:

APRIL 23, 2004

Typed or printed name KAREN A. HALLOCK

Signature 

Date APRIL 23, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2003

Effective 01/01/03. Patent fees are subject to annual revision.

 Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 950.00)

METHOD OF PAYMENT (check all that apply)

 Check  Credit Card  MoneyOrder  Other  None Deposit Account:

Deposit Account Number

50-1652

Deposit Account Name

Ritter, Lang &amp; Kaplan LLP

The Commissioner is authorized to: (check all that apply)

 Charge fee(s) indicated below  Credit any overpayments  
 Charge any additional fee(s) during the pendency of this application  
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
 

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
1001 770	2001 385	Utility filing fee	
1002 340	2002 170	Design filing fee	
1003 530	2003 285	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2006 80	Provisional filing fee	

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Claims:	Extra	Fee from below	Fee Paid
Total Claims	-20**	=	X =
Independent Claims	-3**	=	X =
Multiple Dependent		X =	
Large Entity	Small Entity	Fee Description	
1202 18	2202 9	Claims in excess of 20	
1201 88	2201 43	Independent claims in excess of 3	
1203 290	2203 145	Multiple dependent claim, if not paid	
1204 88	2204 43	** Reissue independent claim over original patent	
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater. For Reissues, see above

Complete If Known	
Application Number	09/942,459
Filing Date	August 29, 2001
First Named Inventor	ALAIN HOULE
Examiner Name	DELMA R. FLORES RUIZ
Group Art Unit	2828
Attorney Docket No	CISCP713

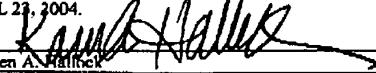
## FEE CALCULATION (continued)

3. ADDITIONAL FEES	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051	130	2051 65	Surcharge - late filing fee or oath	
1052	50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053 130	Non-English specification	
1812	2,520	1812 2,520	For filing a request for reexamination	
1804	920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251 55	Extension for reply within first month	
1252	420	2252 210	Extension for reply within second month	
1253	950	2253 475	Extension for reply within third month	950
1254	1,480	2254 740	Extension for reply within fourth month	
1255	2,010	2255 1,005	Extension for reply within fifth month	
1401	330	2401 165	Notice of Appeal	
1402	330	2402 165	Filing a brief in support of an appeal	
1403	290	2403 145	Request for oral hearing	
1451	1,510	1451 1,510	Petition to institute a public use proceeding	
1452	110	2452 56	Petition to revive - unavoidable	
1453	1,330	2453 665	Petition to revive - unintentional	
1501	1,330	2501 685	Utility issue fee (or release)	
1502	480	2502 240	Design issue fee	
1503	640	2503 320	Plant issue fee	
1460	130	1460 130	Petitions to the Commissioner	
1807	50	1807 50	Petitions related to provisional applications	
1808	180	1808 180	Submission of Information Disclosure Stmt	
8021	40	8021 40	Recording each patent assignment per property (times number of properties)	
1809	770	2809 385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810 385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801 385	Request for Continued Examination (RCE)	
1802	900	1802 900	Request for expedited examination of a design application	
Other fee (specify)				
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$950.00)

SUBMITTED BY		Complete If Applicable	
Name (Print/Type)	DAN H. LANG	Registration No. (Attorney/Agent)	38,531
Signature		Telephone	408/446-8694
		Date	APRIL 23, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-388.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting this completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<b>CERTIFICATE OF MAILING</b>	
I hereby certify that this correspondence is being submitted via Facsimile to 703/872-9306 and address to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313- 1450 on APRIL 23, 2004.	
Signed:	
Karen A. Hallcock	

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**OFFICIAL**

Appln. No. : 09/942,459 Confirmation No.: 7946  
Applicant : ALAIN HOULE  
Filed : August 29, 2001  
TC/A.U. : 2828  
Examiner : DELMA R. FLORES RUIZ  
Docket No. : CISCP713  
Customer No. : 26541  
Title : Laser Frequency Control Using Filtered Control Signal

**RECEIVED**  
**CENTRAL FAX CENTER**

APR 23 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE FOR RECONSIDERATION**

Sir:

In response to the Advisory Action of February 26, 2004, please amend the above-identified application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 10 of this paper.

Page 1 of 12